

Attorney Docket No. 10017.00

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

MAY 16 2002

In re Application of: Margaret Sue Ellis

Application No.: 09/992,348

Group Art Unit: 2161

Filed: November 21, 2001

Examiner: Not Yet Assigned Technology Center 2100

Title: CREDIT RISK MANAGING LOAN POOLS

Commissioner for Patents  
Washington, D.C. 20231PRELIMINARY AMENDMENT

Sir:

Please amend the above-identified application as follows:

Replace the paragraph beginning at page 1, lines 10-12, after "Reference to Related Applications," in its entirety with the following paragraph:

This application is related to co-pending commonly assigned application no. 09/991,762 entitled "Analyzing Investment Data," Attorney Docket No. 11401.00, filed on November 21, 2001, which is hereby incorporated by reference in its entirety.

REMARKS

Attached hereto is a marked-up version of the changes made to the specification by the current amendment. The attached page is captioned "Version With Markings to Show Changes Made."

Respectfully submitted,

Dated: May 14, 2002

Gregory P. Durbin, Atty. Reg. No. 42,503  
DORSEY & WHITNEY LLP  
USPTO Customer No. 20686